



Application for the issuance of a Class A recipient licence for the provision of Betting Services

on the basis of sections 14 and 28 of the Betting Law of 2012, as amended or replaced from time to time (the «Law»)

- The application must be completed by a representative with the power of attorney or by a director authorised by the board of directors of the applicant company and must be fully completed before submission to the National Betting Authority
- The application must be completed in English and in block capital letters
- If the space provided is not sufficient, please attach additional sheets. Each additional sheet must be numbered at the top and signed by the Applicant
- If a field is not applicable, please note «not applicable» or «N/A»
- This Application Form will not be accepted unless accompanied by the Personal Declaration Form (NBA/EN/2016/03) concerning all Beneficial Owners, Officers and Holders of Substantial Interest
- If, following the completion and submission of this form, information provided within has changed, it is the Applicant's responsibility to communicate such changes directly and immediately to the Authority. Failure to do so may result in the suspension or revocation of the relevant licence
- This Application Form will not be accepted unless the prescribed application fee is paid to the Authority
- The Authority reserves the right to request additional information at any time

NAME OF APPLICANT COMPANY

FULL NAME OF A REPRESENTATIVE WITH THE POWER OF ATTORNEY OR DIRECTOR
AUTHORISED BY THE BOARD OF DIRECTORS OF THE APPLICANT COMPANY

TRADE NAME



To be completed by a representative with the power of attorney or by a director authorised by the board of directors of the applicant limited liability company by shares, which was incorporated either in the Republic or abroad and has a branch in the Republic of Cyprus, the main activity of which is to carry out bets and has issued and paid up share capital of at least five hundred thousand Euros (€ 500.000).

1. Payable Fee

The Payable Fee required for the application covers the total amount required for granting the Licence. The Class A Licence with a term of validity of one (1) year is fixed at thirty thousand euros (€30,000), while the licence for a term of validity of two (2) years at forty five thousand euros (€45,000).

In the event that the application is rejected, the fee shall be reimbursed with a discount of twenty five per cent (25%). *(Please mark the relevant box)*

One (1) year Two (2) years

2. Particulars of Legal Entity (Applicant)

2.1 Registered Name

2.2 Registration Number

2.3 Date of Registration

2.4 Type of Legal Entity:

Limited Liability Company

Partnership

Other (provide details)

.....
.....

2.5 Trade Name

2.6 Authorised Share Capital

2.7 Issued Share Capital

2.8 Percentage of Paid Up Share Capital

2.9 List all Proposed / Registered Beneficiaries (give full details of the Beneficial Owners and Holders of Substantial Interest: name, address, date of birth, citizenship, share percentage)

.....
.....
.....



2.10 List all Beneficial Owners (give full details of the name, address, date of birth, citizenship, share percentage)

2.11 List all Proposed/Registered Directors (give full details of the name, address, date of birth, citizenship)

2.12 Address of Registered Office _____

2.13 Name of Credit Institution _____

2.14 Telephone number(s) _____

2.15 Fax _____

2.16 E-mail _____

2.17 Web-page _____

2.18 Indicate any other relevant premises which shall be used in connection with the proposed service

2.18 Indicate whether the applicant is in possession of patents and/or trademarks which are proposed to be used in connection with the operation of the service (Patent / Trademark Numbers / Status / Country of Issuance)

3. Particulars of the Branch (for a foreign company)

3.1. Registered Name _____

3.2. Registration Number _____

3.3. Date of Registration _____

3.4. Address of Registered Office _____

3.5. Address where activities are carried out in Cyprus (if different than the above)

3.6. Telephone number(s) _____





3.7. Fax _____

3.8. E-mail _____

4. Particulars of Contact Person (of the Applicant Company)

The following details must be filled by the contact person / branch appointed to represent the Applicant duly/legally.

4.1. Name and Surname _____

4.2. Date of Birth _____

4.3. Place of Birth _____

4.4. I.D. / Passport Number _____

4.5. Place of Issuance _____

4.6. Date of issuance of I.D. / passport _____

4.7. Date of expiry of I.D. / passport _____

4.8. Alien Registration Number (ARN) _____

4.9. European Citizen Registration Number _____

4.10. Profession _____

4.11. Residence Address (street and number) _____

4.12. Town _____

4.13. Postal Code _____

4.14. Telephone number(s) _____

4.15. Mobile phone number(s) _____

4.16. Fax _____

4.17. E-mail _____

4.18. Type of relationship with the Applicant

- Authorised Representative
- Director authorised by the board of directors
- Other (provide details)

5. Licensing and Operating History

Tick the applicable box:

5.1. The Applicant is establishing a new betting operation with no previous record of betting activity.





- 5.2. The Applicant has financial interests in betting operations already operating in Cyprus or abroad.
- 5.3. Structure of Body Corporate seeking a licence in Cyprus as per Business Plan:
- Single Member Entity
 - Parent Entity
 - Subsidiary Entity
- 5.4. Countries of Incorporation and date of registration
- _____
- 5.5. List of Countries where the legal entity has a relevant Betting Licence
- 5.5.1. _____
 - 5.5.2. _____
 - 5.5.3. _____
 - 5.5.4. _____
 - 5.5.5. _____
- 5.6. List of Countries where the legal entity has previously sought a Betting Licence or has submitted a relevant application for this purpose
- 5.6.1. _____
 - 5.6.2. _____
 - 5.6.3. _____
 - 5.6.4. _____
 - 5.6.5. _____
- 5.7. Has the legal entity ever been denied a Betting Licence in any other jurisdiction? YES NO
- 5.8. Has the legal entity ever been party to a lawsuit (provide details)? YES NO
- 5.9. Has any interest or share in the profits ever been pledged or mortgaged as security for a debt, or deposited as a security for the performance of an act, or to secure the performance of a contract? YES NO
- 5.10. Does the legal entity intend to transfer its current Betting Operations to Cyprus? YES NO
- 5.11. Indicate the number of employees the legal entity intends to employ within the first two years of operating in Cyprus.
- _____





6. Financial Information

Indicate the available financial resources for the provision of Betting Services

- 6.1. Financial Year End _____
- 6.2. Proof regarding the collection of resources to be used by the recipient for financing the Provision of Betting Services on the commencement of business and for the first twelve months of operation (only for recipients who are new market entrants)
- 6.3. Financial planning and management structure (for all recipients)
- 6.4. Is the applicant company under liquidation or bankruptcy? YES NO
- 6.5. Any other financial information regarding all financial and other interests of the applicant company (you may attach additional sheet(s) if necessary)

7. Banking details

Provide the particulars of the banking institution with which you have been conducting business with for the last three (3) years.

The persons you declare may be called to provide further information.

- 7.1. Name of Banking Institution _____
- 7.2. Address _____
- 7.3. Town _____
- 7.4. Postcode _____
- 7.5. Contact Person _____
- 7.6. Position in the Organisation _____
- 7.7. Telephone number(s) _____
- 7.8. Fax _____
- 7.9. E-mail _____

8. Details of Auditing Firm or other Firm

Provide details regarding the Auditing Firm(s) with which you have been cooperating during the last three (3) years and which is conducting services regarding your Financial Statements, Computer System or any other related services.

The persons you declare may be called to provide further information.

- 8.1. Name of Firm _____
- 8.2. Address _____
- 8.3. City _____
- 8.4. Postcode _____
- 8.5. Contact Person _____





- 8.6. Position in Organisation _____
- 8.7. Telephone number(s) _____
- 8.8. Fax _____
- 8.9. E-mail _____

9. Information Concerning the Provider of Computerised System

The persons you declare may be called to provide further information.

- 9.1. Name of the Provider _____
- 9.2. Licence number _____
- 9.3. Address _____
- 9.4. Town _____
- 9.5. Postcode _____
- 9.6. Contact Person _____
- 9.7. Position in the Organisation _____
- 9.8. Phone number(s) _____
- 9.9. Fax _____
- 9.10. E-mail _____

10. Declaration of Physical Location of the equipment necessary for the provision of betting services (electromechanical parts and software computer system for labelling tickets)

11. Offences

Has the applicant or the beneficial owner(s) or the officer(s) or the holder(s) of substantial interest or any person associated with this application, been convicted or has a criminal case been filed against him/them or is any criminal investigation being carried out against him/them in court for a "relevant offence" (as defined by the Betting Law of 2012)?

- 11.1. Yes – please proceed to para. 11.2. No – please proceed to para. 12

11.2. Relevant Offence

Declare the "relevant offense" or "relevant offenses" and/or attach a judgment(s) / indictment / writ of summons (if any):

I have been condemned for _____

and I attach the following: _____

_____ as part of the attached documents in support of the present application.





12. Please answer the following questions:

Has any judgment been issued before against the Applicant Company? YES NO

(If yes please provide details on an additional page)

Has the remuneration, profits or other income of the Applicant Company been previously subjected to an order of attachment (confiscation) or other judicial process? YES NO

Has property of the applicant company ever been seized by financial company or other institution? YES NO

(If yes, please provide details on an additional sheet)

APPLICANT'S SIGNATURE

DATE





AFFIDAVIT

Name: _____

Surname: _____

I.D. Number _____

I, _____ am a representative with power of the attorney / director authorised by the board of directors of the applicant company. The information provided by me in the present application to the National Betting Authority is accurate and true and I recognize that false statements constitute a criminal offense and/or violate the Betting Law of 2012, as may be amended and/or replaced from time to time ("the Law").

I accept that any false statement made by me may result in the rejection of the application of the company or the revocation or suspension or withdrawal or amendment of the license which may be granted and/or may lead to the withdrawal of the right to file any new application by the said company for the granting of any licence under the Law and may generally produce all legal consequences under the Law.

I undertake to give any information or explanation requested by the National Betting Authority.

NAME AND SIGNATURE

DATE

CAPACITY OF THE SIGNATORY AND STAMP

THE REGISTRAR





**PROCESSING OF PERSONAL DATA
(PROTECTION OF NATURAL PERSONS) LAW OF 2001)**

Express consent and statement of acknowledgment of notice regarding the collection and processing of sensitive data and/or personal data in accordance with the Processing of Personal Data (Protection of Natural Persons) Laws of 2001, as amended or replaced from time to time.

I consent with and authorize the National Betting Authority (“the Authority”) to maintain, manage and process one or more records of sensitive data and/or personal data concerning myself and/or the company (the “Records”) for the purposes of examination of any application for the provision of a Class A recipient licence (“the Licence”) to the applicant company, pursuant to the Betting Law of 2012, as amended or replaced from time to time (“the Law”).

I consent to the management and processing of Records which are necessary for renewal, revocation, suspension of the Licence and any modification or cancellation or imposition of new terms thereon.

I acknowledge and accept that the Authority will maintain and/or process the Records for as long as the company is a licensed company as well as such time after the expiration, revocation, suspension or amendment of the Licence that it deems reasonable.

I finally acknowledge and accept that each Licence issued by the Authority, as well as any revocation or suspension of the licence and any modification, cancellation or imposition of new terms, shall be notified to credit institutions and made public by the Authority, in accordance with section 18 of the Law.

The present consent that is given by me, is given freely and in full awareness of its content.

NAME AND SURNAME

SIGNATURE

CAPACITY OF THE SIGNATORY AND STAMP





CLARIFICATIONS / ATTACHMENTS

- A. The Affidavit and Express Consent and Declaration of notice regarding the collection and processing of sensitive data and/or personal data in accordance with the Processing of Personal Data (Protection of Individuals) Law 2001 constitutes an integral part of the application.
- B. This application must be accompanied by the following documents:
1. Receipt of payment of payable fee
 2. Bank guarantee for the amount of €550.000 with expiry date extending to six (6) months following the expiry of the license to be granted by the Authority
 3. Recent (within six months) originals or duly certified copies of certificates of incorporation / directors / shareholders / registered office of the company and of the branch in the Republic (in the case of a foreign company)
 4. Memorandum and Articles of Association of the company (original or duly certified copy)
 5. Copies of patents and/or trademarks (if applicable)
 6. Instrument of appointment of representative with power of attorney / officer / certified copy of company of company resolution (for filing the application)
 7. Criminal record of the company and of the representative with power of attorney / officer
 8. Bank reference letter
 9. Letter confirming banking details
 10. Audited financial statements for the last three (3) years (if applicable)
 11. Detailed terms and conditions under which the Applicant intends to provide betting services (including a description of each type of bet that it intends to provide)
 12. Copy of the Betting Licence issued by a Member State of the European Union (if applicable)
 13. Business Plan (for the next three (3) years)
 14. Financing Plan (include the cash flow budget) (for the next three (3) years)
 15. Organizational Chart of the company
 16. Procedures for monitoring compliance for the Prevention and Combating Money Laundering activities (AML)
 17. Internal control compliance procedures of the company (internal control objectives)
 18. Procedures for hiring personnel in the capacity of responsible person at licensed premises in the name of the applicant company (if applicable)
 19. Methods for keeping books and records in electronic form (sections 69-70 of the Betting Law, as amended)
 20. Know your Client procedures (KYC) (applicable where the company will conclude an agreement with an authorized representative for the provision of betting services)
 21. Sample of Betting Ticket
 22. Application for the approval of detailed computerized system data (as provided by section 48 of the Betting Law, as amended, and the Authority's Directive no. 7/2016)
 23. Agreement between the applicant company and the Computer System provider (if applicable)
 24. Agreement between the applicant company and the provider of the storage of the necessary equipment for the provision of betting services





25. Certificate of Suitability of Computer System

26. Details of Connection of the Computer System with the Authority (web portal, username and password)

27. Personal Data Statement (NBA/EN/2016/03) in respect of all the Beneficial Owners, Officers and Holders of Substantial Interest.

Provided that, if the shareholders of the applicant company are legal entities, then the following information must be sent up, leading up to the Ultimate Beneficial Owners

28. Recent (within the last six months) originals or duly certified true copies of certificates of registration / directors / shareholders / registered office of the company

29. Memorandum and Articles of Association of the company (original or certified true copy)

30. Financial Statements for the last three (3) years

31. Bank Reference Letter

32. Personal Data Declaration of the Recipient (NBA/EN/2016/03).

C. It should be noted that:

- 1. The copies to be provided must be certified by a certifying officer (the company certificates must be certified by the Registrar of Companies or equivalent institution abroad) and the criminal records must be issued during the last six (6) months from the date of application. The Applicant must provide the criminal records: (a) issued by the Republic (in the case of a natural person or legal entity), and (b) issued by the country of origin (in case of a natural person) or by the country of registered office or seat (in case of a legal entity), and (c) issued by the country of habitual residence (in the case of a natural person), and (d) issued by the country where the Applicant is primary carrying out professional or business activities (in the case of a natural person or legal entity).**
- 2. The licensed Class A recipient, shall submit to the Authority, six (6) months after the issuance of the Licence for the Provision of Betting Services, a report from the Auditing Firm on the basis of the International Standard on Assurance Engagements - ISAE 3402 Type I**

D. The application will not be considered complete if all the relevant fields are not completed and if not all required documents are submitted. If the following documents are not submitted and no additional information or documents are provided (which are considered necessary by the Authority to be provided), the application may be rejected.